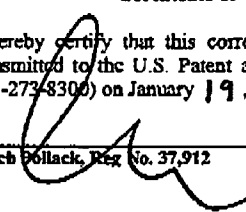


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Regarding the following Application:

Applicant(S): KLEIN, Steffan
Gottfried

Examiner: Not yet known

Serial No./
Patent No.: 10/588,275

Group Art Unit: 3625

Filed/Issued Date: August 4, 2006

Attorney Docket No.: P-9035-US

Title: A SYSTEM AND METHOD FOR ELECTRONIC COMMERCE

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Attorney Docket No.: P-9035-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): KLEIN, Steffan Gottfried Examiner: Not yet known

Serial No.: 10/588,275 Group Art Unit: 3625

Filed: August 4, 2006

Title: A SYSTEM AND METHOD FOR ELECTRONIC COMMERCE

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Sir:

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Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, this Information Disclosure Statement includes Form PTO/SB/08:

1. ☒ listing documents including patents, publications and other information for consideration by the Examiner, however, since the subject application was filed after June 30, 2003, copies of United States patents and/or United States patent application publications are not included in this information disclosure statement; and/or
2. ☐ listing documents including patents, publications and other information that have been previously cited or submitted to the Patent Office in prior application U.S. Serial No. _____, filed _____ which is properly identified and relied on for an earlier effective filing date under 35 U.S.C. 120 for consideration by the Examiner; however, in accordance with 37 C.F.R. 1.98(d), copies of such documents are not included in this information disclosure statement; and/or
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APPLICANTS: KLEIN, Steffan Gottfried
SERIAL NO.: 10/588,275
FILED: August 4, 2006
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The information herein cited is only in fulfillment of Applicant(s) duty of candor in disclosing all information brought to Applicant(s) attention. This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve(s) the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each and every document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application and is evidence that the Examiner has considered the document.

This Information Disclosure Statement is being filed:

I) ☒ Within three (3) months of filing the subject Application or entry of the subject Application into the national stage or before mailing of the first Office Action on the merits of the subject Application or a request for continued examination thereof, whichever event occurs last pursuant to of 37 C.F.R. §1.97 (b); or

II) ☐ After the period specified in (I) but before the mailing date of either a final Official Action under 37 C.F.R. §1.113 or a notice of allowance under 37 C.F.R. §1.311 whichever occurs first and;

1. ☐ the undersigned hereby states that each item of information listed on the Form PTO-1449 was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement or (ii) not cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, not known to any individual designated in §1.56(c) more than three (3) months prior to the filing of this information disclosure statement; or

APPLICANTS:

KLEIN, Steffan Gottfried

SERIAL NO.:

10/588,275

FILED:

August 4, 2006

Page 3

2. ☐ the undersigned hereby authorizes the Patent Office to charge the fee in the amount of \$180.00 under 37 C.F.R. §1.17 (p) to Deposit Account 50-3355.

III) ☐ After the period in (I) and (II) but before the payment of the issue fee and,

1. The undersigned hereby states:

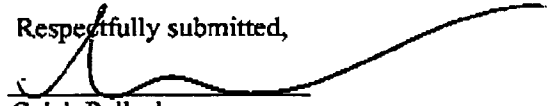
a) ☐ that each item of information cited on the form PTO-1449 was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement; or

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Except for issue fees payable under 37 C.F.R. §1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account No. 50-3355.

Respectfully submitted,


Caleb Pollack
Attorney/Agent for Applicant(s)
Registration No.

Dated: December 26, 2006

Pearl Cohen Zedek Latzer, LLP
1500 Broadway, 12th Floor
New York, New York 10036
Tel: (646) 878-0800
Fax: (646) 878-0801

PTO/SB/08a (07-05)

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| | | | | Application Number | 10/688,275 |
| | | | | Filing Date | August 4, 2006 |
| | | | | First Named Inventor | KLEIN, Steffan Gottfried |
| | | | | Art Unit | 3625 |
| | | | | Examiner Name | Not yet known |
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| | A | US-6,780,677 | 08-04-1998 | FOX ET AL. | |
| | B | US-5,005,200 | 04-02-1991 | FISCHER | |
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| | C | Netscape, 'SSL 3.0 Specification' March 1996, pp. 1-27, <URL: http://www.w3.org/TR/WD-DSIG-label-arch-970610 > | <input type="checkbox"/> |
| | D | Cisco Systems Inc, 'Introduction to Secure Sockets Layer' 14 March 2003, pp.1-12, <URL: http://www.cisco.com/warp/public/cc/sa/neso/cxne/cxdirmg/wpsot_wp.pdf > | <input type="checkbox"/> |
| | E | International Search Report of Application No. PCT/AU2005/000113, dated April 18, 2005. | <input type="checkbox"/> |
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